

JPW

500.36920CC2



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): T. SHIMANO, et al  
Serial No.: 10/827,339  
Filed: April 20, 2004  
For: OPTICAL HEAD  
Group: 2655  
Examiner:

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 & 1.98**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 2, 2004

Sir:

In the matter of the above-identified application, applicant(s) is/are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This information disclosure statement is being submitted before the mailing date of a first office action on the merits and with the following certification specified in 37 CFR 1.97(e).

On information and belief, each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

To the extent that, the documents listed on the attached form equivalent to Form PTO-1449, are not in the English language, the requirement of 37 CFR

1.98(a)(3) for a concise explanation of the relevance is satisfied by a corresponding U.S. patent.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 01-2135 (500.36920CC2) and please credit any excess fees to such deposit account.

Respectfully submitted,



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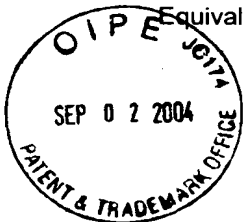
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Attachments  
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Form PTO-1449  
Equivalent

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No. 500.36920CC2  
Serial No. 10/827,339  
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U.S. Patent Documents

Examiner Initials	Document No.	Date	Name	Class Subclass	Filing Date If Approp.
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5,173,598 12/92 Bakx (corresponds to JP 5-128548)

Foreign Patent Documents

Document No.	Date	Country	Class Subclass	Translation Yes No
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5-128548 5/93 Japan (corresponds to USP 5,173,598)

Other Documents (including Author, Title, Date, Pertinent Pages, etc.)

Examiner

Date Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with next  
communication to applicant.